

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Number: 7,273,095

Issued: 25 September 2007

Title: Nanoengineered Thermal Materials Based on Carbon Nanotube Array Composites

Application SN: 10/825,795

Certificate of Correction Branch
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

**REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT - OFFICE MISTAKE [37 C.F.R. 1.322]
AND APPLICANT MISTAKE [37 C.F.R. 1.323]**

1. Request for Certificate of Correction of Patent - Office Mistake [37 C.F.R. 1.322]

Applicant respectfully requests that the USPTO issue a corrected patent in lieu of a certificate of correction for the above-identified patent. There are three inventors on the patent but only two out of the three, Jun Li and Meyya Meyyappan, were named on the patent when it issued. Carlos Dangelo, the third inventor, was not named even though he was properly added and the Office acknowledged same. We believe this to be the Office's mistake and that it is clearly disclosed in the Office records as follows:

1. June 5, 2006, Applicant filed a Request for Correction of Inventorship under 37 CFR § 1.48(a) to add Carlos Dangelo as an inventor and name the actual inventors on the patent to be Jun Li, Meyya Meyyappan, and Carlos Dangelo.
2. June 23, 2006, Examiner issued a Corrected Filing Receipt naming Jun Li, Meyya Meyyappan, and Carlos Dangelo as Applicants (copy attached).
3. June 26, 2006, Examiner issued a Notice of Allowance and Fee(s) Due (copy attached), wherein Examiner stated, "In view of the papers filed 05 June 2006, it has been found that this nonprovisional application, as filed, through error and without deceptive intent, improperly set

forth the inventorship, and accordingly, this application has been corrected in compliance with 37 CFR 1.48(a). The inventorship of this application has been changed by adding Carlos Dangelo as an inventor in the present application.

The application will be forwarded to the Office of Initial Patent Examination (OIPE) for issuance of a corrected filing receipt, and correction of Office records to reflect the inventorship as corrected.”

4. September 25, 2007 the patent issued with only Jun Li and Meyya Meyyappan listed as inventors (copy of first page of patent attached).

2. Request for Certificate of Correction of Patent – Applicant Mistake [37 C.F.R. 1.323]

Applicant respectfully requests a certificate of correction for our mistake. We misspelled the name of an Assignee on the patent. The Assignee, NanoConduction, Incorporated, should have been spelled with a lower case “c”, Nanoconduction, Incorporated.

The fee for a Certificate of Correction for Applicant’s mistake is \$100.00 [37 CFR 1.20(a)].

☒ Please charge \$100.00 to deposit account number **14-0116** .

☒ Please charge any other fees necessary to effect this action and/or credit any overpayment.

3. Form PTO/SB/44 with corrections suitable for printing is attached.

4. Page, column and line number in patent where errors occur.

Office Mistake: First page of the patent, column 1, Inventor field.

Applicant Mistake: First page of the patent, column 1, Assignee field.

5. Corrections to Patent.

Please change Inventors

From: Jun Li, Sunnyvale, CA (US); Meyya Meyyappan, San Jose, CA (US)

To: Jun Li, Sunnyvale, CA (US); Meyya Meyyappan, San Jose, CA (US); Carlos Dangelo, Los Gatos, CA (US)

Please change Assignees

From: United States of America as Represented by the Administrator of the National Aeronautics and Space Administration, Washington, DC (US); NanoConduction, Incorporated, Los Gatos, CA (US)

To: United States of America as Represented by the Administrator of the National Aeronautics and Space Administration, Washington, DC (US); Nanogconduction, Incorporated, Los Gatos, CA (US)

6. The fee for Certificate of Correction for Applicant's mistake is \$100.00 [37 CFR 1.20(a)].

☒ Please charge \$100.00 to deposit account number **14-0116**.

☒ Please charge any other fees necessary to effect this action and/or credit any overpayment to deposit account number **14-0116**.

7. Please send the Certificate of Correction to:

Name: Vickie Kent

Address: NASA Ames Research Center, Mail Stop 202A-4, Moffett Field, CA 94035-1000

8. Assignee Has the Right to Take Action in this Case

Assignee: United States of America as Represented by the Administrator of the
National Aeronautics and Space Administration

☒ A "STATEMENT UNDER 37 CFR 3.73(b)," establishing the right of the assignee to take action in this case is attached.

9. Attachments:

Statement Under 3.73(b)

PTO/SB/44, Certificate of Correction

Copies: Corrected Filing Receipt, dated 23 June 2006
Notice of Allowance and Fee(s) Due, dated 26 June 2006
First Page of Patent, issued 25 September 2006

/rmpadilla/ 07 April 2008
Signature of assignee or person authorized to sign on behalf of assignee date

Robert M. Padilla Regis. No. 38,987
Type or print name of authorized person signing

Attorney for Applicant and Assignee
Title of authorized person signing

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

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PATENT NO. : 7,273,095

APPLICATION NO.: 10/825,795

ISSUE DATE : 25 September 2007

INVENTOR(S) : Jun Li, Meyya Meyyappan, and Carlos Dangelo

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Page 1, Column 1, in the Inventors field add --Carlos Dangelo, Los Gatos, CA (US)--

Page 1, Column 1, in the Assignees field, "NanoConduction, Incorporated" should be --Nanoconduction, Incorporated--

MAILING ADDRESS OF SENDER (Please do not use customer number below):

NASA Ames Research Center, Attn: Vickie Kent, Mail Stop 202A-4, Moffett Field, CA 94035-1000

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.